

**Refurbishment ?**  
**Re-use?**  
**Single use ?**

***Rainer VOELKSEN***

*President Regulatory Affairs Professionals Society RAPS  
c/o Swiss Federal Office of Public Health, Bern / Switzerland  
AHC - AHWP, 19 November 2014, Seoul / Korea*

# Disclaimer

The following presentations reflect only the personal view of the author.

Thanks to some colleagues of whom I picked some slides (amended)!

Errors can only be attributed to myself.

# Different legal status

- **Refurbishment:** complete “overhaul” of a used product by the manufacturer: replacement of all parts which could show wear and tear (batteries, used parts, ...). Declared as such by the manufacturer.
- No new “placing on the market” – no regulation (but maintenance obligations)
- **Second hand:** direct sale from one hospital to the next – no regulation (but maintenance obligations)
- **Single use** is single use is single use: as defined by the manufacturer
- **Re-use:** example instrument sets: usually cleaned (and sterilized) by the user, sent back to the manufacturer, sterilized again and functioning to be verified. Sent out again for next OP.
- Regulations on re-use differ by Member State but strict cleaning and sterilization standards, some Member States do not allow external 3<sup>rd</sup> party sterilization subcontractor.

# **European Medical Devices Regulations?**

***Rainer VOELKSEN***

*President Regulatory Affairs Professionals Society RAPS  
c/o Swiss Federal Office of Public Health, Bern / Switzerland*

*AHC - AHWP, 19 November 2014, Seoul / Korea*

# Topics

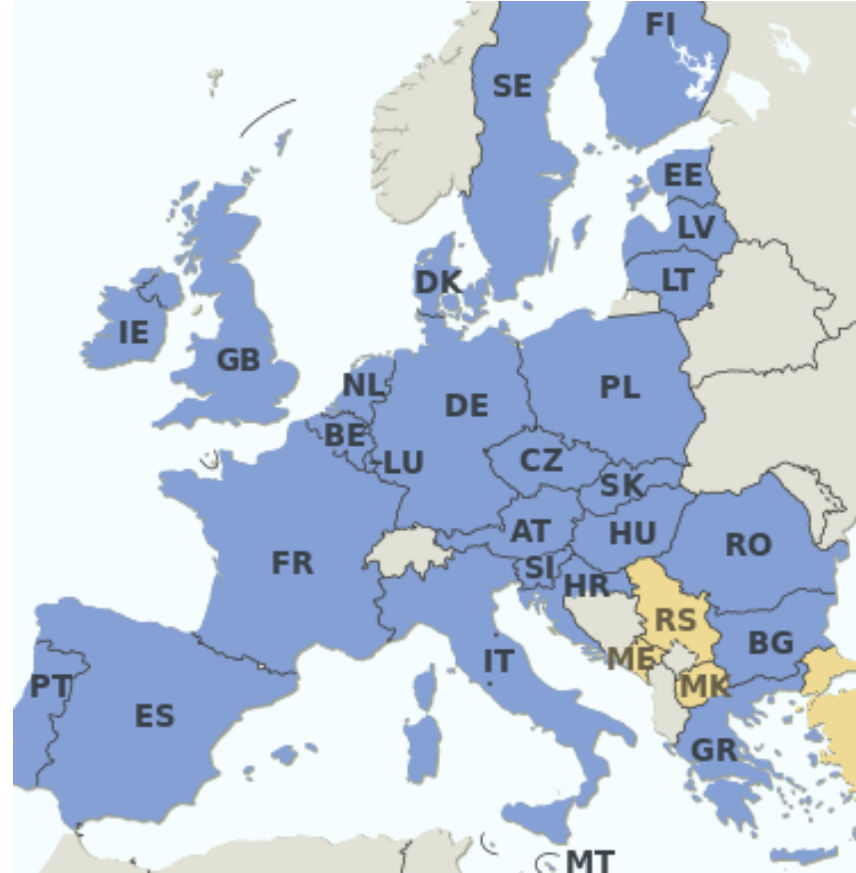
- I. Europe before the Directives
- II. Reason and background on the Directives
  - a. Free movement of goods
  - b. Common regulations across Europe
  - c. Authorities focus on clinical trials and post-market
- III. Review of the experience with the Directives
- IV. Scenarios for next steps: Regulations vs strengthening the system
- V. Conclusions

# Europe: the idea of free trade of CE marked medical devices

- “Passport” to verify quality and safety
- Single market
- Nothing on reimbursement
- Based on quality management system
- Split into Competent Authorities (Government) for clinical trials and post-market and Notified Bodies (private but controlled by Government Designating Authorities ) for the pre-market QM standard certification and where necessary product type certificate

# Europe end of 1980ies / 1990

EU with 12 Member States  
“New and Global Approach” developed

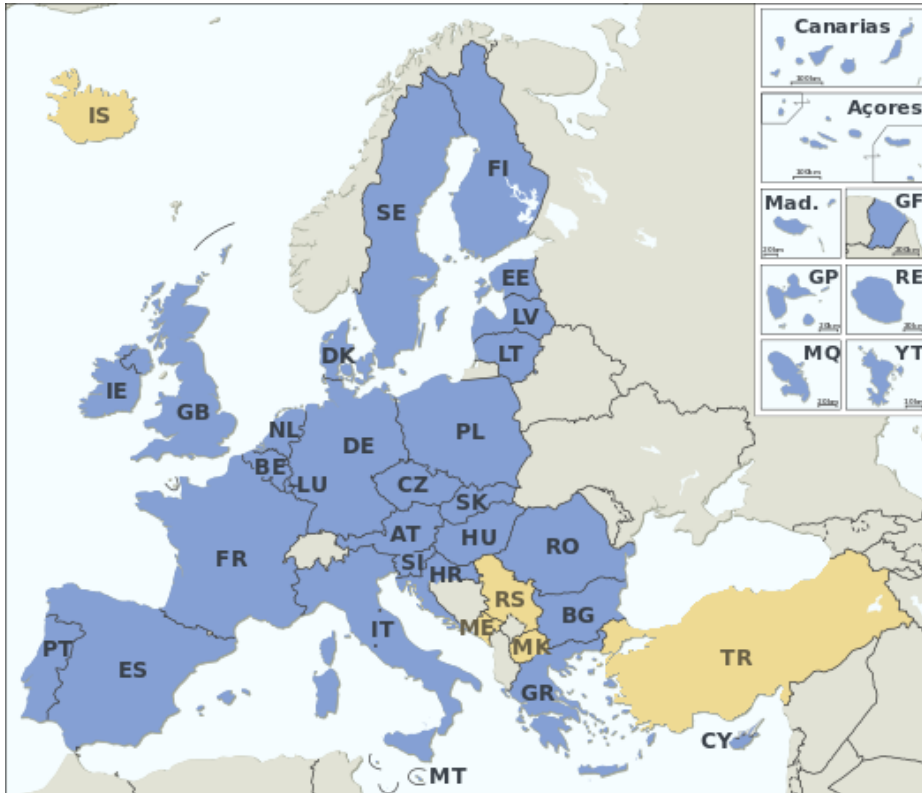


# Early regulations (before 1990)

- Material tests (mostly joint implants) in some Member States
- Performance tests for batteries for pacemakers etc in some Member States
- General electrical safety requirements in some Member States
- Mechanical tests of prostheses in some Member States
- Each “approval”, verification, notification only valid in one Member State
- Only 12 EU Member States at that time
- Start of the New and Global Approach in the EU: one CE mark as sign of safety and quality means the free market access in all Member States
- No additional public service structure but out-sourcing to private bodies



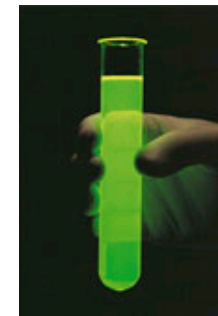
# European Directives (1990 , 1993 and 1998)



active implantable  
Medical Devices  
AIMDD  
90/385/EEC

«classical»  
Medical Devices  
MDD  
93/42/EEC

In-vitro Diagnostic  
Medical Devices  
IVDD  
98/79/EC



# Today: EU Directives & national implementations

## Main Directives

- 90/385/EEC (AIMD)
- 93/42/EEC (MD)
- 98/79/EC (IVD)
- EU Reg Nr.765/2008 (Accr./Marketcontrol)
- EU Reg 920/2013 Control of NB



Member States etc transpose into different National Laws

## Amendments

- 2000/70/EC (Blood and plasma derivatives)
- 2003/12/EC (classification of breastimplants)
- ...

### DE:

- MPG
- MPV
- MPSV
- MPKPV
- ...

### PT:

- ...
- ...

### CH:

- HMG
- MepV
- HFG & VO's
- .....
- MRA
- ...

# Experience

- Variable implementation and interpretation in the Member States
- Common classification lacking
- From 12 to 28 Member States: lack of mandatory collaboration
- EU paved the way for QMS recognition through GHTF (now IMDRF)
- Too many Notified Bodies, each assessed by one national Designating Authority creates large variety across the EU
- Criticism from industry, public, politics
- Formal review with public consultation
- New “proposed regulations” in September 2012 (switch from Directives will mean mandatory collaboration, one and the same text for all member States, stricter and harmonized controls of NB’s)

# European political process

**European Commission:**  
Proposes Legislation  
TECHNICAL



**European Parliament:**  
Proposes Amendments  
POLITICAL

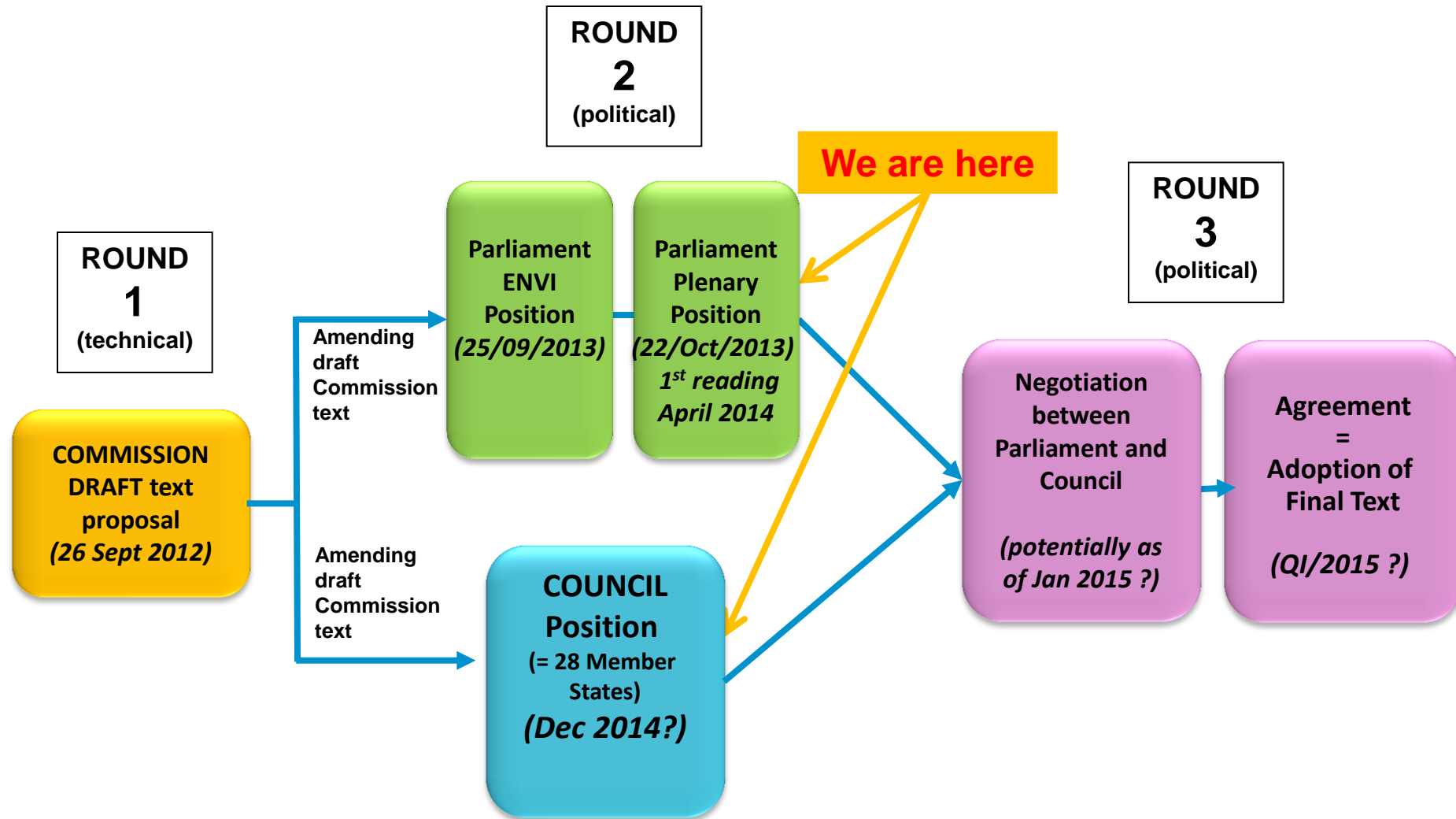


**Council = 28 Member States:** Proposes Amendments  
TECHNICAL/Political

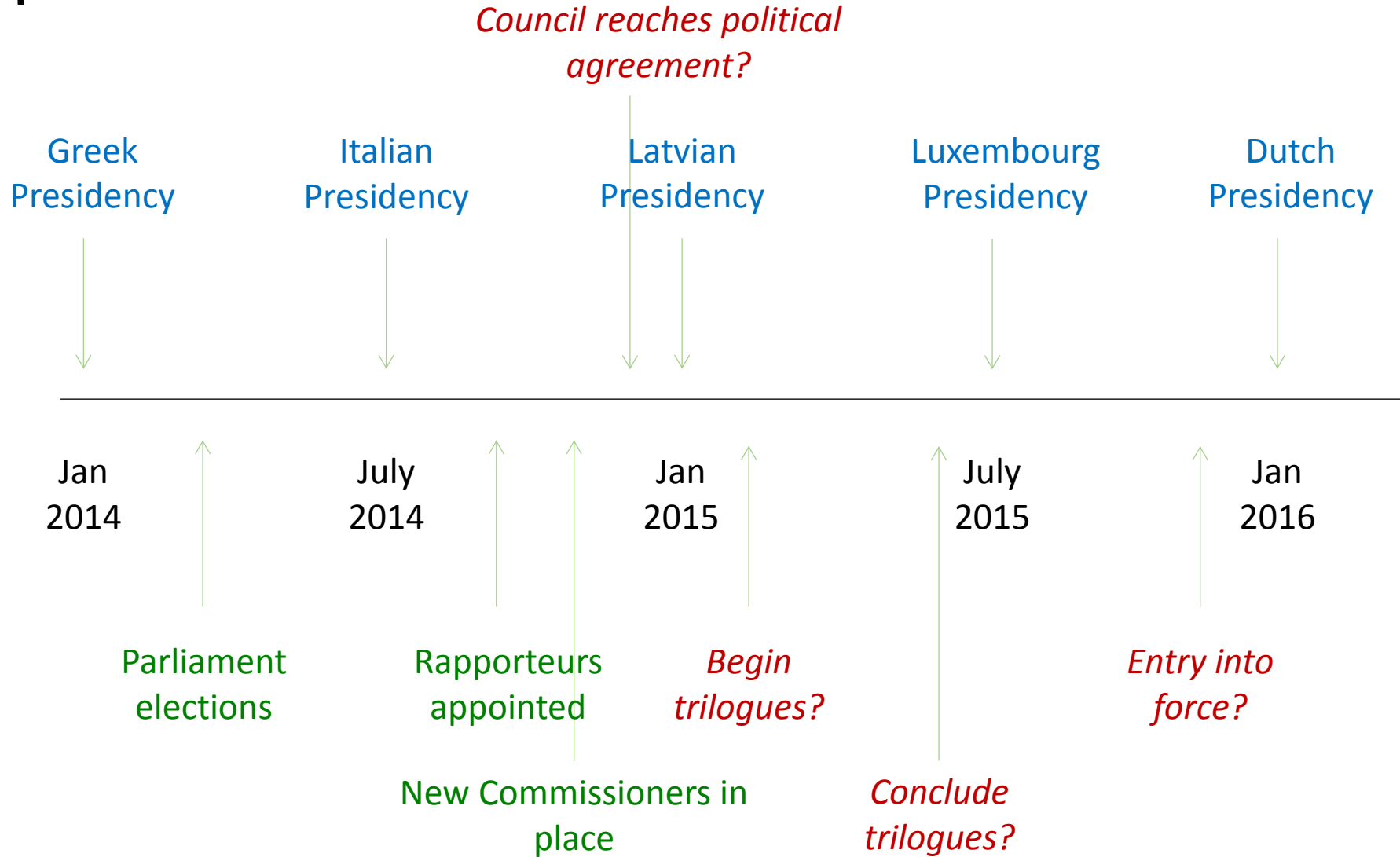


**Negotiate and agree on final text**

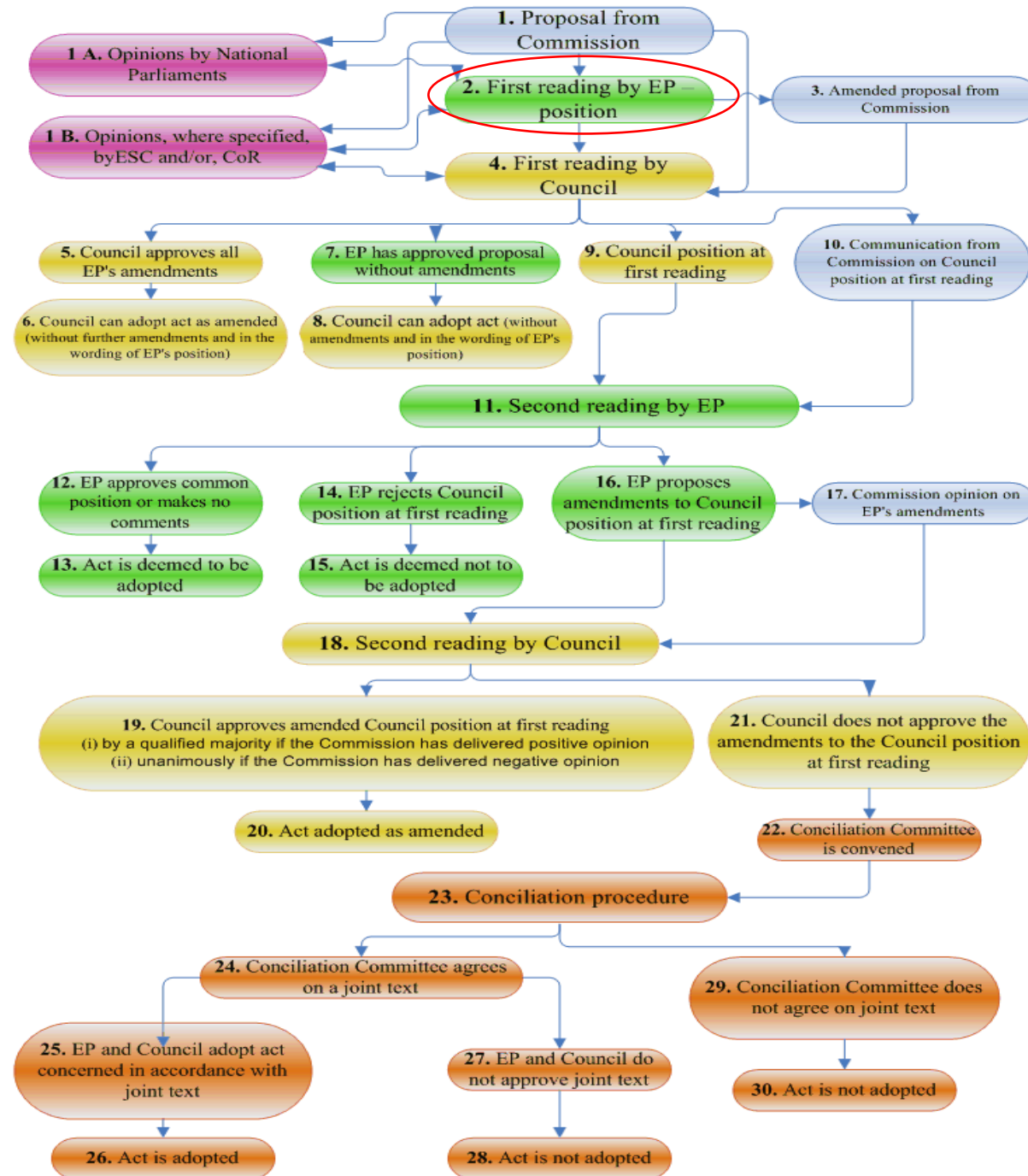
# Current Status of the Process



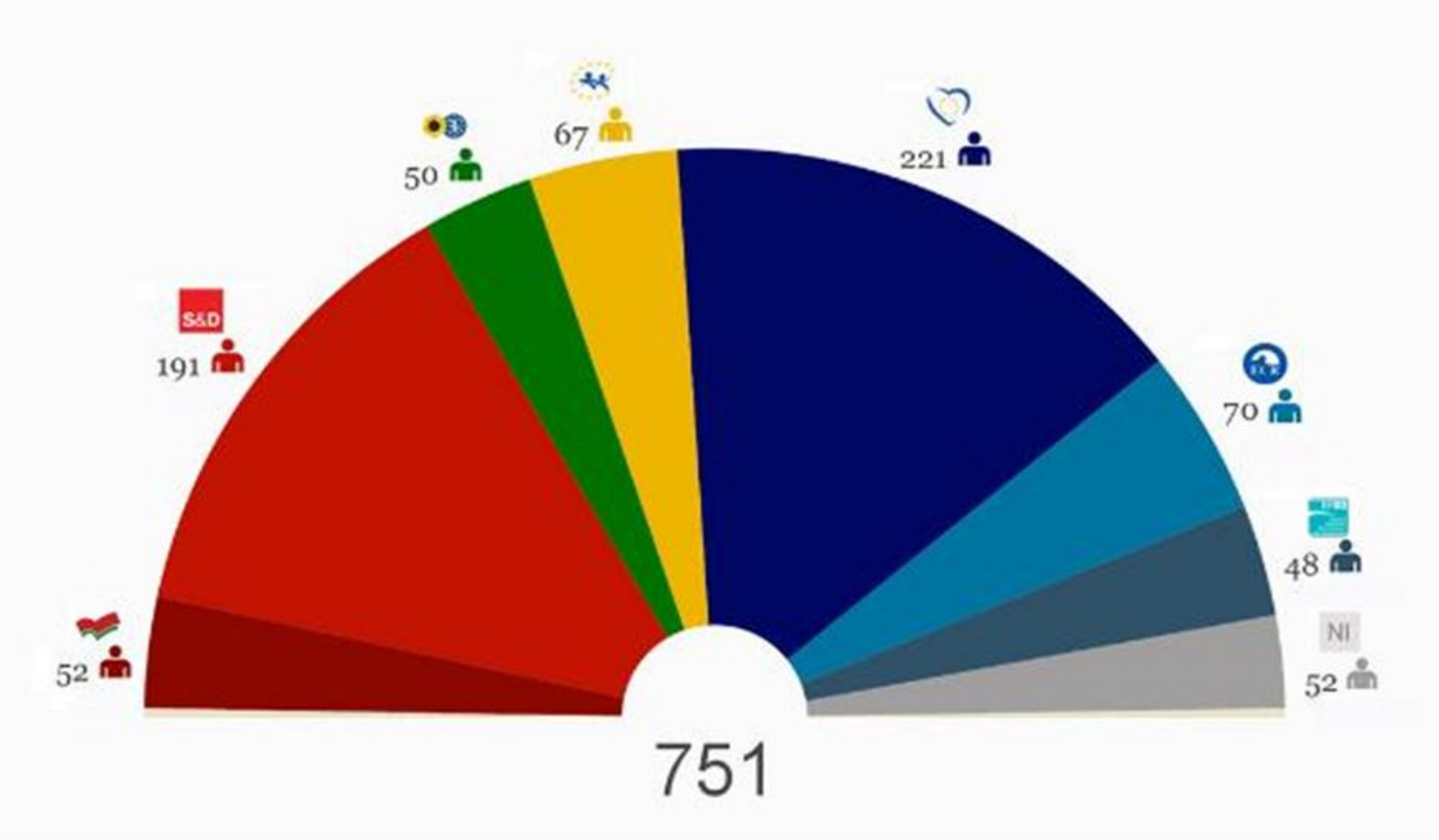
# The political timetable



# The formal process



# The European Parliament





# Outlook

- Many combinations possible in Council
  - With the large member states' differences, coalitions of small member states can have impact

The screenshot shows a web-based voting simulation interface for the Council. At the top, there are navigation buttons: "Select ALL", "Don't participate", "Participate", "Vote: Yes No Abstain", and "Reset votes". A "Help" link is also present.

The main area displays a list of 28 member states, each with a checkbox, a flag, the state name, its number of votes, and a green "Yes" button. The states are arranged in two columns:

Member State	Votes	Vote
PORTUGAL	12	Yes
SLOVENIA	4	Yes
FRANCE	29	Yes
CZECH REPUBLIC	12	Yes
SWEDEN	10	Yes
SPAIN	27	Yes
BELGIUM	12	Yes
HUNGARY	12	Yes
POLAND	27	Yes
DENMARK	7	Yes
CYPRUS	4	Yes
CROATIA	7	Yes
EIRE / IRELAND	7	Yes
LITHUANIA	7	Yes
GERMANY	29	Yes
FINLAND	7	Yes
ROMANIA	14	Yes
AUSTRIA	10	Yes
BULGARIA	10	Yes
ESTONIA	4	Yes
UNITED KINGDOM	29	Yes
MALTA	3	Yes
SLOVAKIA	7	Yes
THE NETHERLANDS	13	Yes
LUXEMBOURG	4	Yes
LATVIA	4	Yes
ITALY	29	Yes
GREECE	12	Yes

On the right side, there is a "Voting rule" dropdown set to "qualified majority" and a checkbox for "With population". Below this is a "Final result" box with a green upward arrow icon.

Two progress bars show the current status:

- 28 MEMBER STATES:** Minimum 15. Current: 28 Yes, 0 No, 0 Abstain.
- 352 VOTES:** Minimum 260. Current: 352 Yes, 0 No, 0 Abstain.

At the bottom, the word "Presidency" is partially visible.

# Proposal by the European Commission



EUROPEAN COMMISSION

Brussels, 26.9.2012  
COM(2012) 542 final

2012/0266 (COD)

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on medical devices, and amending Directive 2001/83/EC, Regulation (EC) No 178/2002  
and Regulation (EC) No 1223/2009**

# Tomorrow: EU regulations

## Regulations



- Proposal IVD COM (2012)541 final
- Proposal on Medical Devices and... COM (2012)542 final
- Reg (EU) Nr.765/2008 (Accreditation/Market Surveillance)



Direct Application in the Member States (MS)

MS DE:

- IVD EU Reg.
- MD EU Reg.
- Reg 765/2008

MS PT:



CH Rev.:

- HMG oder MPG ?
- MepV's
- HFG & VO's
- .....
- MRA ?!
- ...

# Structure overview summary of proposed regulation

<p>Proposal for a</p> <p><b>REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL</b></p> <p><b>on medical devices, and amending Directive 2001/83/EC, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009</b></p> <p>(Text with EEA relevance)</p> <p>THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,</p> <p>Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 and Article 168(4)(c) thereof,</p> <p>Having regard to the proposal from the European Commission,</p> <p>After transmission of the draft legislative act to the national Parliaments,</p> <p>Having regard to the opinion of the European Economic and Social Committee<sup>23</sup>,</p> <p>Having regard to the opinion of the Committee of the Regions<sup>24</sup>,</p> <p>After consulting the European Data Protection Supervisor<sup>25</sup>,</p> <p>Acting in accordance with the ordinary legislative procedure,</p> <p>Whereas:</p> <p>(1) Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices<sup>26</sup> and Council Directive 93/42/EEC of 14 June 1993 concerning medical devices<sup>27</sup> constitute the Union regulatory framework for medical devices, other than <i>in vitro</i> diagnostic medical devices. However, a fundamental revision of those Directives is needed to establish a robust, transparent, predictable and sustainable regulatory framework for medical devices which ensures a high level of safety and health whilst supporting innovation.</p>
--

## 10 Chapters

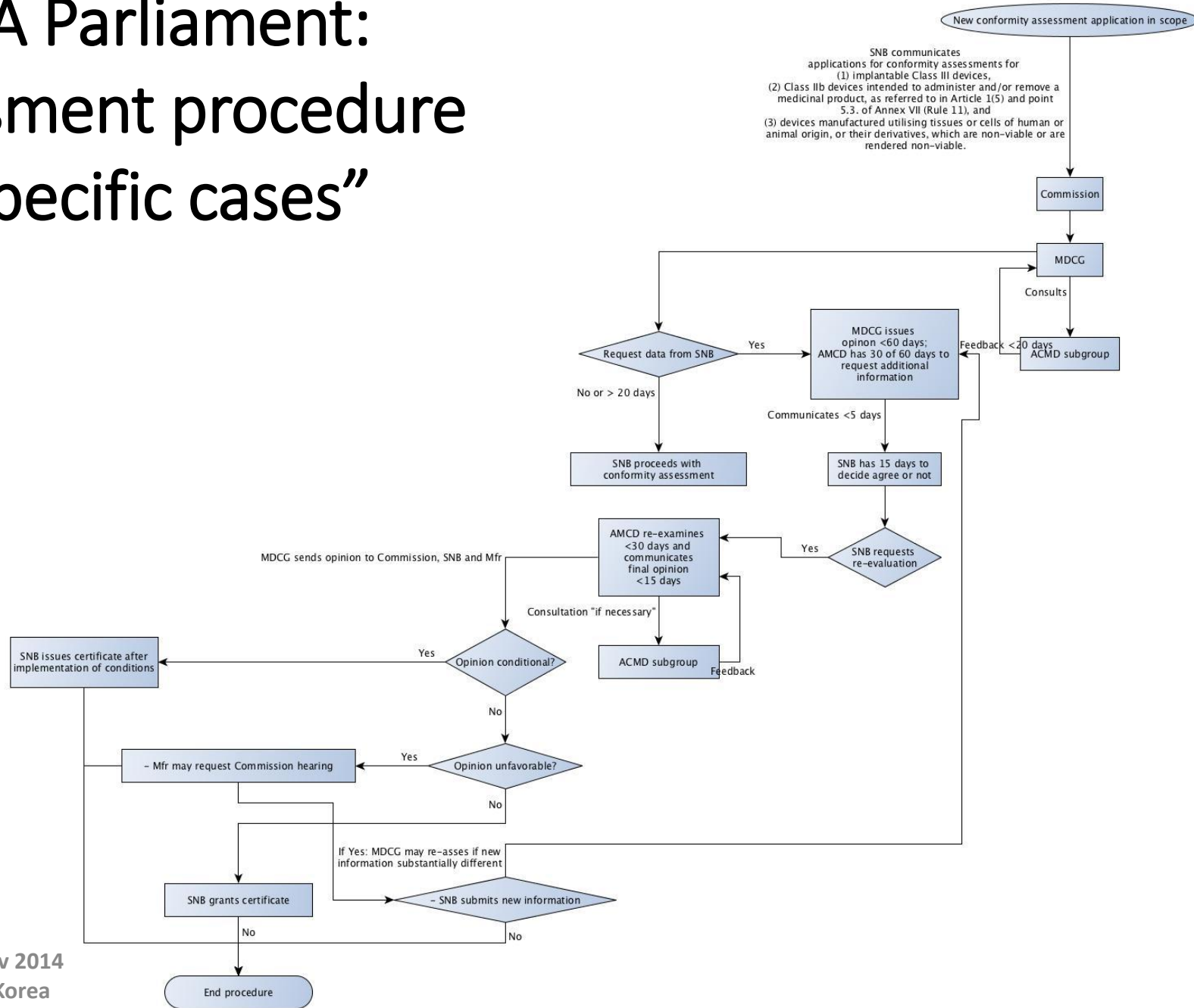
- 1 – Scope & definitions
- 2 – Making available of medical devices, MAID
- 3 – Identification & traceability
- 4 – Notified Bodies
- 5 – Classification, conformity assessment
- 6 – Clinical evaluation & investigation
- 7 – Vigilance and market surveillance
- 8 – Governance
- 9 – Confidentiality
- 10 – Final Provisions

# Structure overview summary of proposed regulation

## 16 Annexes

- I – General safety & performance req.
- II – Technical Documentation
- III – EU Declaration of Conformity
- IV – CE marking
- V – Device & Operator registration, UDI
- VI – Requirements for NB
- VII – Classification criteria & rules
- VIII – Full quality assurance and design examination
- IX – Type examination
- X – Production Quality Assurance / Product Verification
- XI – Custom made devices
- XII – Content of certificates
- XIII – Clinical evaluation / PMCF
- XIV – Clinical investigations
- XV – Products without medical claim covered
- XVI – Correlation table Directives vs. Regulation

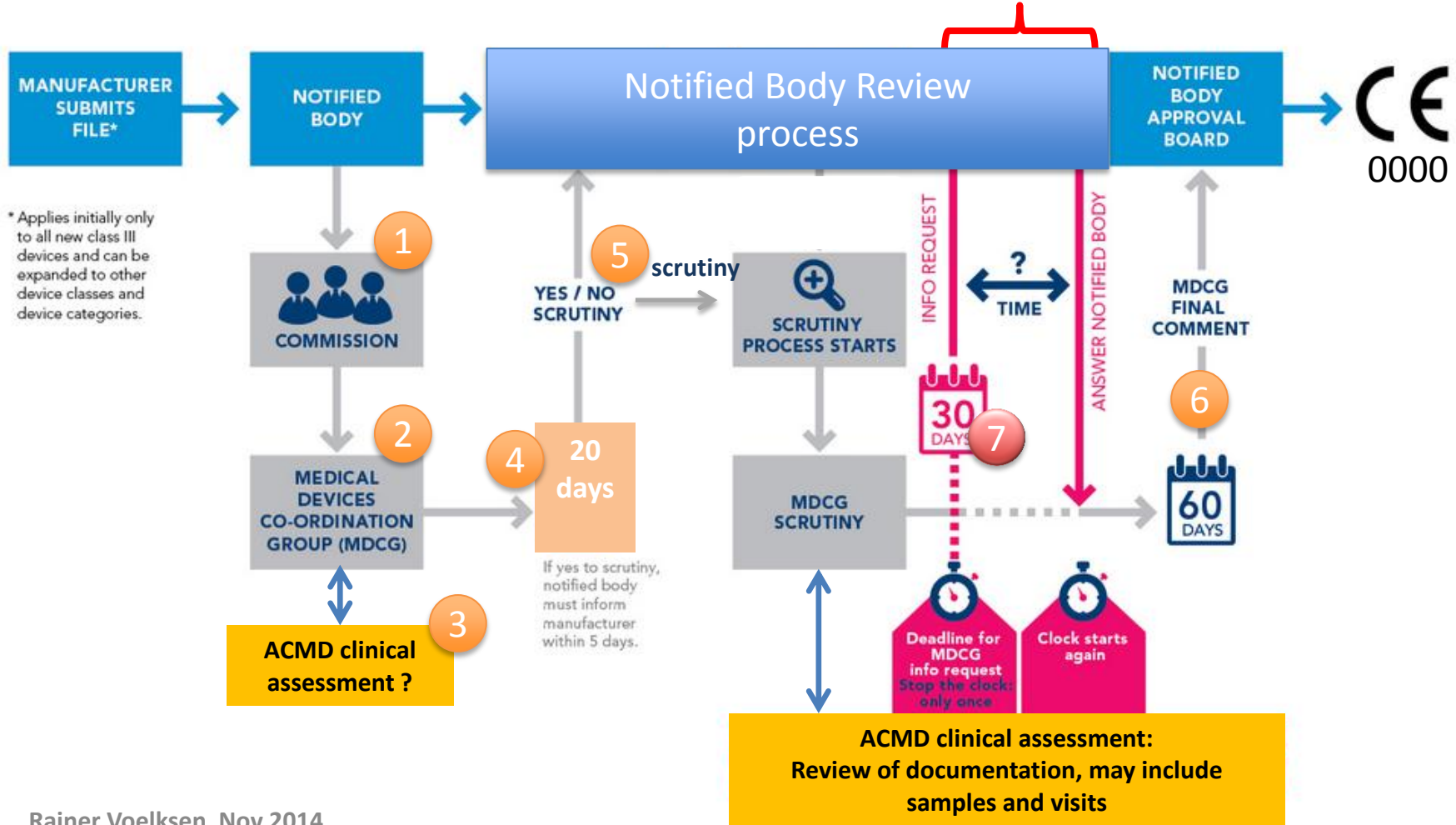
# PMA Parliament: “Assessment procedure in specific cases”



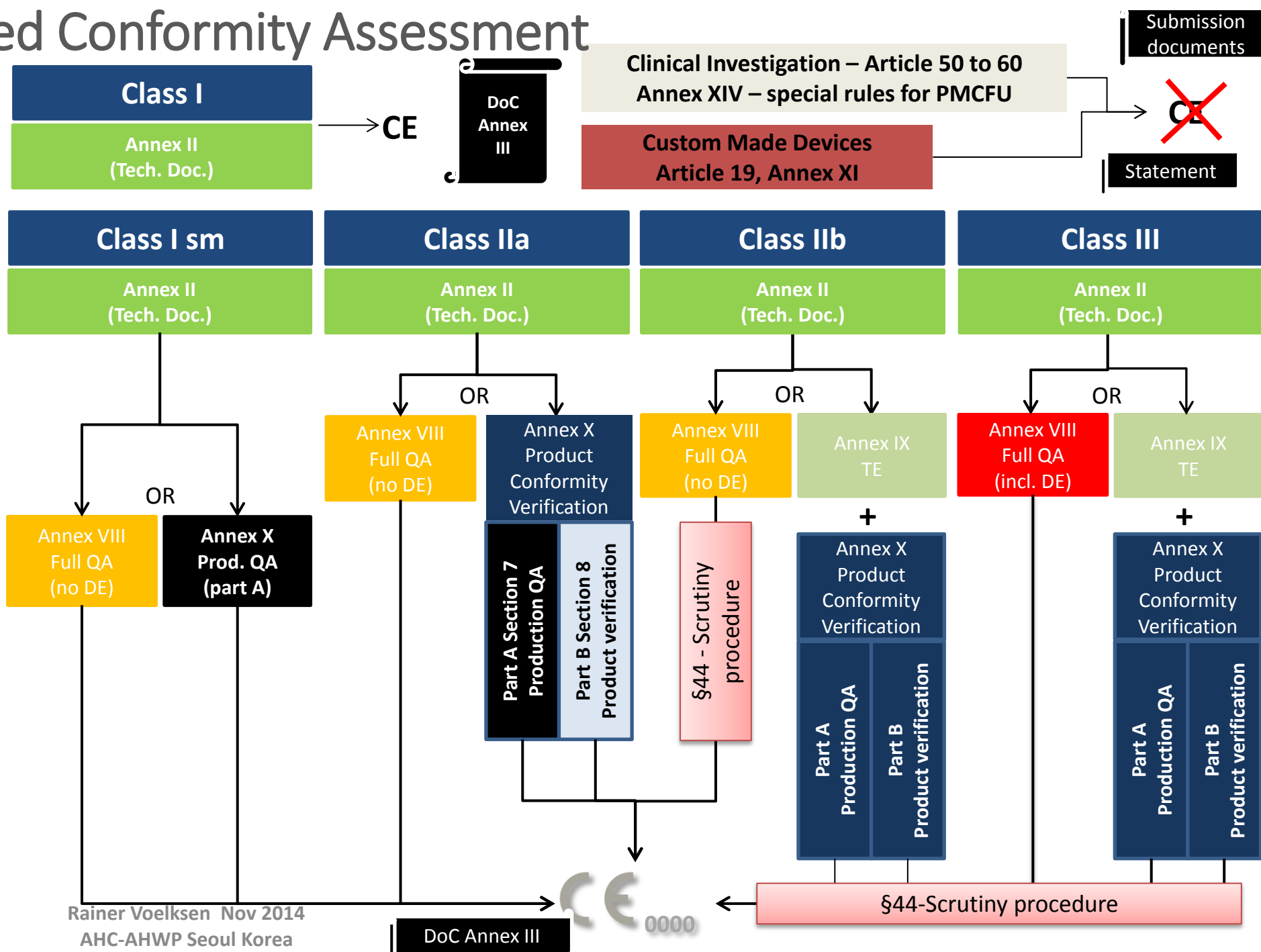
# Scrutiny mechanism for certain conformity assessments

ACMD - Assessment Committee for Medical Devices  
 MDCG – Medical Device Coordination Group

If documentation is complete and no clock stop: 60 days



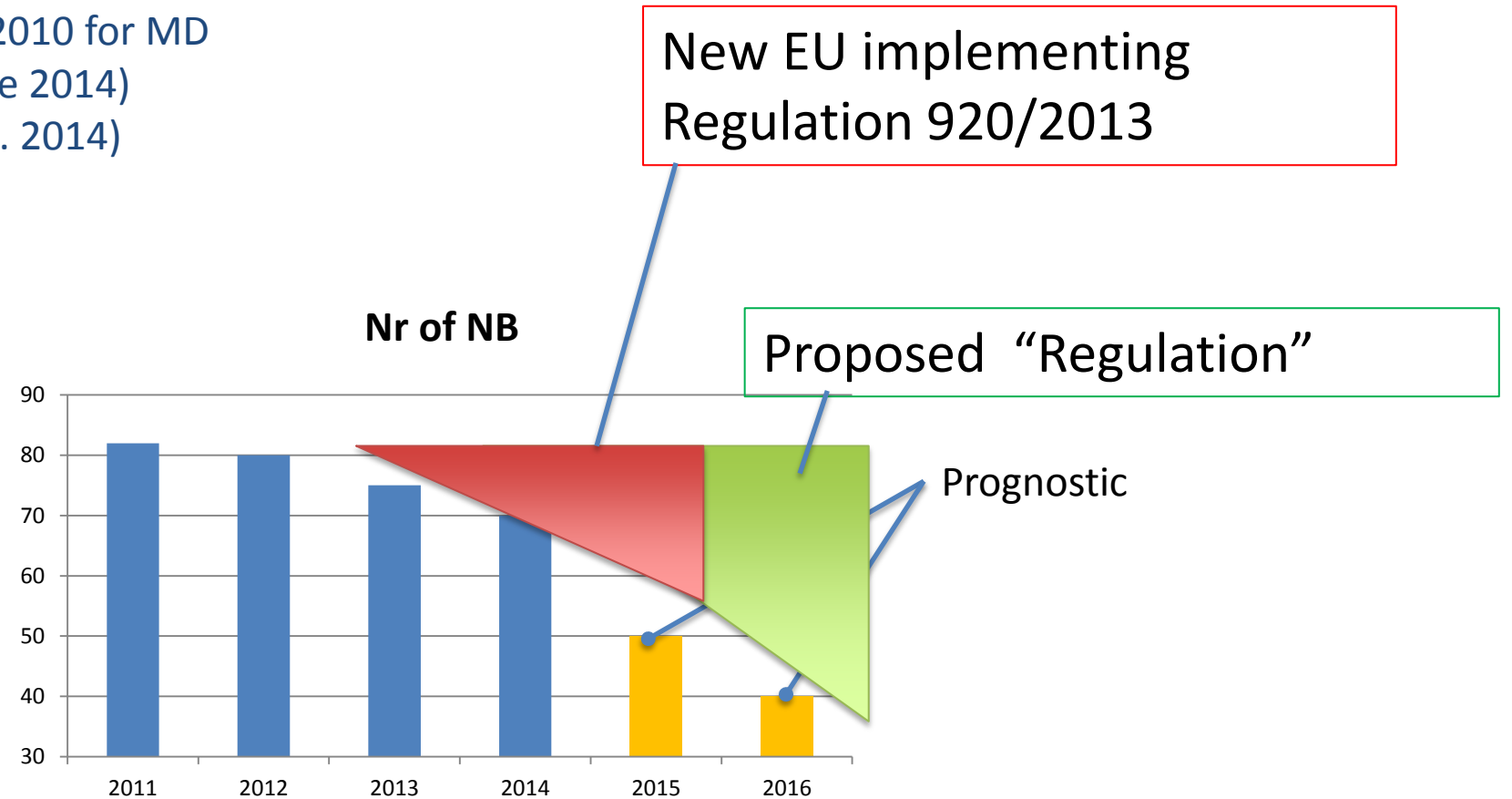
# Proposed Conformity Assessment





# “Nando” list of Notified Bodies

- 82 NB before 2010 for MD
- 75 NB (21 June 2014)
- 70 NB (11 Oct. 2014)



# Experience with the 2012 “immediate measures”

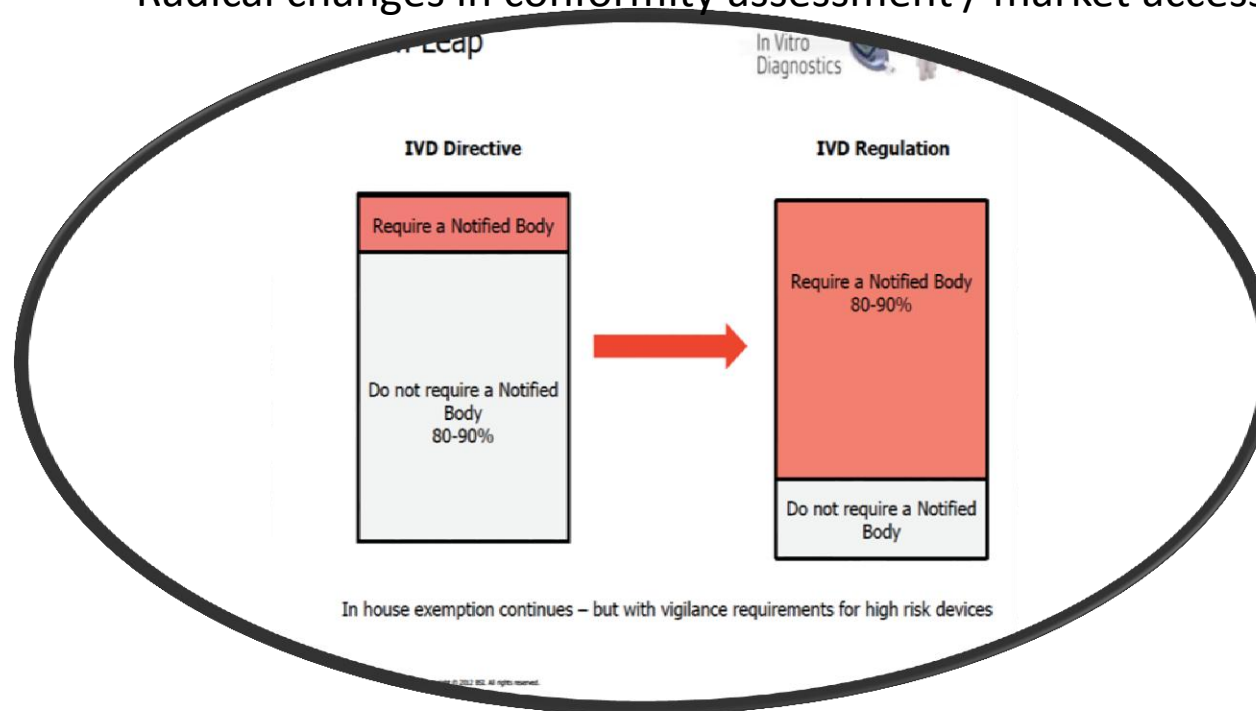
- EU Implementing Regulation 920/2013 from Sept. 2013, effective since January 2014: assessment of NB with multi-national audit team plus EU –Food and Veterinary Office (Inspectorate)
- Monthly tcons/F2F meetings between all Member States in regards to Vigilance
- Strong collaboration and information exchange between all Member States in the area of clinical trials
- Strong new committee of the Member States
- Each “approval”, verification, notification only valid in one Member State
- Publication in July 2014 of the “experience under the immediate measures” by the EU Commission

# Process



# IVDs: the quantum leap

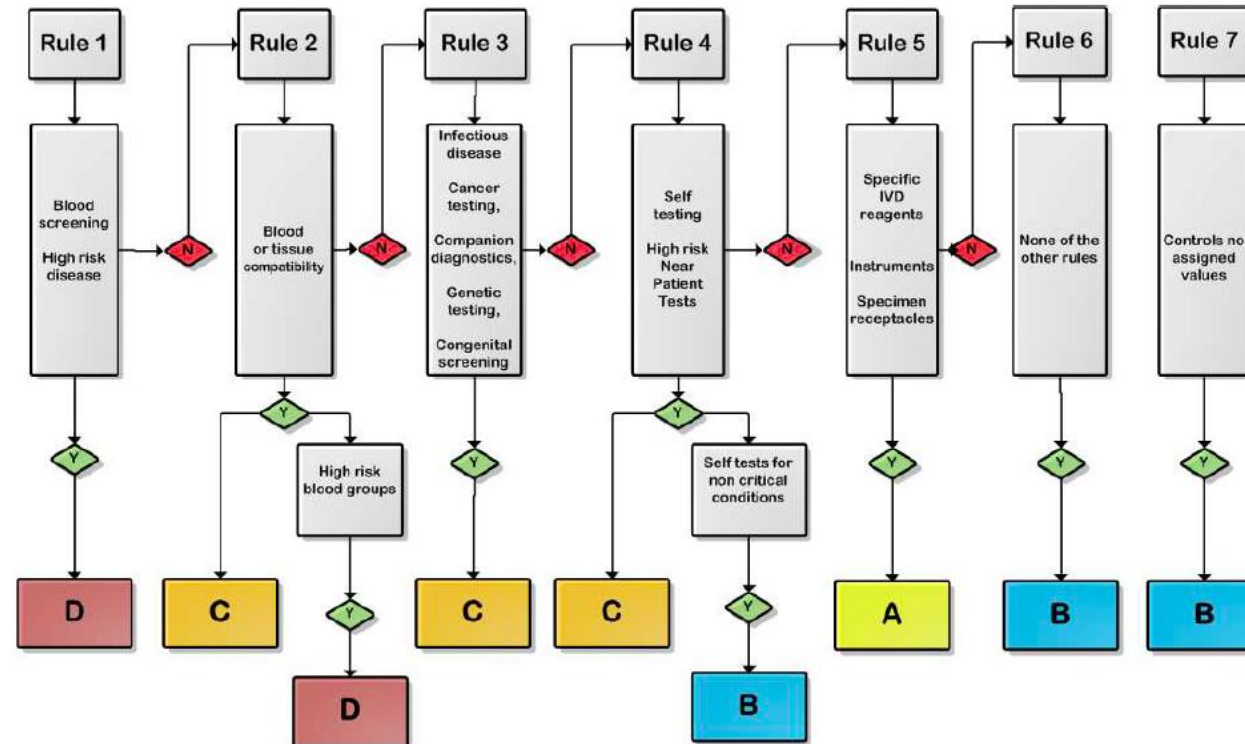
- IVDs neglected in political discussion, but immense changes in the works
  - IVDs for ‘indirect medical purpose’ (“life style tests”) likely to be regulated
  - Genetic testing practice requirements
  - Radical changes in conformity assessment / market access



# Proposed IVD Classification

## IVD Classification

In Vitro  
Diagnostics



## Quo vadis, Medical Devices Regulation?



# OUTLOOK

- Final system should be safe, thought-through and implementable
- Equal implementation and interpretation throughout all Member States
- Less and more competent Notified Bodies
- Better classification for IVD
- More clinical trials required for more products
- Stronger and more effective collaboration between all Member States
- Stronger EU “Body” / “Committee” with mandatory decisions
- **Safe products for all patients**

Thank you – any questions?

[Rainer.Voelksen@bag.admin.ch](mailto:Rainer.Voelksen@bag.admin.ch)

