

#### GS1 Update and UDI Databases

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22<sup>nd</sup> Asian Harmonization Working Party, New Delhi, India 5 December 2017





# Increasing demands on the Healthcare systems





- Increasing costs for healthcare
- Quality of patient care
- ➤ Big data
- > Traceability counterfeiting
- Personalised medicines
- Mobile technologies
- ➤ IoT
- > Blockchain
- > 3D printing

#### Standards have a role to play



#### GS1 – a global standard organisation





1 million

**150** countries

6 billion

**112 MOs** 

over 1 million companies worldwide use GS1 standards 25 industries served across 150 countries

Barcodes scanned more than 6 billion times per day globally 112 Member
Organisations
around the world



#### Our vision in Healthcare



The vision of GS1 Healthcare is to be the recognised, open and neutral source for regulatory agencies, trade organisations and other similar stakeholders seeking input and direction for global standards in healthcare for



patient safety



supply chain security & efficiency



traceability



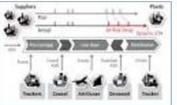
product data



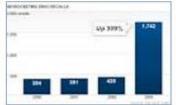
# Traceability – important worldwide

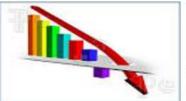














Supply Chain Visibility

Product Protection

Recall Improvement

Returns,
Shipment accuracy
and efficiency

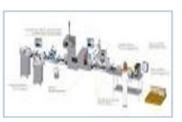
Reimbursement cy













Identify

Verify

Track

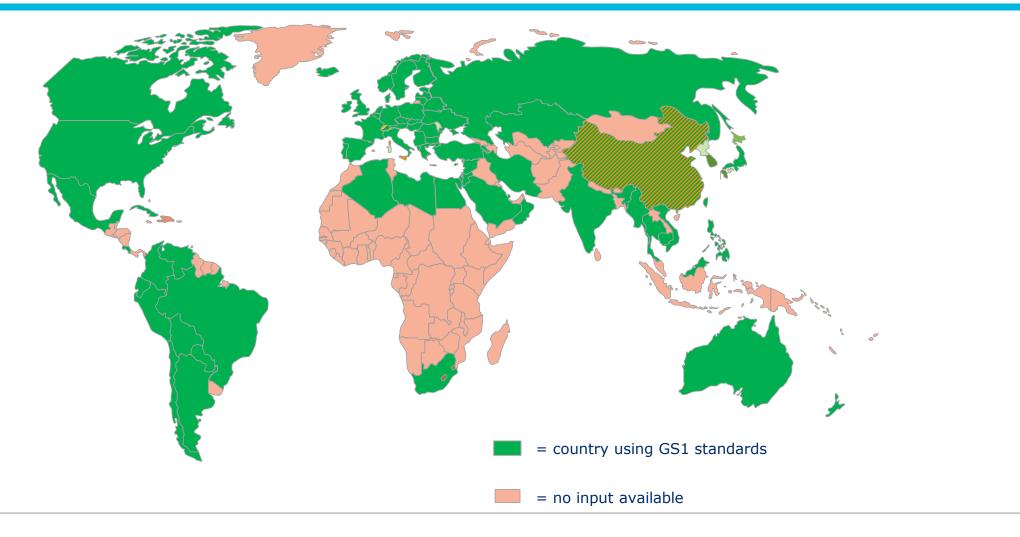
**Trace** 

Report



# Harmonisation around the identification of pharmaceuticals







# Traceability regulated and implemented













































# APEC Roadmap for Global Medical Product Integrity and Supply Chain Security



- 5 year project (Jan. 2013 Dec. 2017)
- 10 work streams, incl. Track & Trace Systems



- Objective:
  - examine current practices and regulatory requirements
  - develop recommendations to regulators
  - develop training programs
- 3 overarching recommendations supporting the APEC goals of regulatory harmonisation and of 10% reduction of supply chain cost:
  - define clear objective to be achieved
  - collaborate with stakeholders
  - recommend the use global data standards (GDS)



# APEC Roadmap for Medical Product Quality and Supple Chain Integrity initiative

- APEC Medical Products Supply Chain Security Toolkit went live in March and is housed at the APEC Harmonization Center website <a href="http://www.nifds.go.kr/apec/SupplyChain/APEC\_SupplyChainToolkit\_170317.pdf">http://www.nifds.go.kr/apec/SupplyChain/APEC\_SupplyChainToolkit\_170317.pdf</a>
- APEC Ministerial Meeting (AMM) statement:" We commend the progress achieved by APEC members in establishing the Supply Chain Security Toolkit for Medical Products."
- Establishment of APEC Regulatory Sciences Centers of Excellence (CoEs) at the following institutions: Northeastern University United States (Biotherapeutics); Peking University China (MRCT/GCP); PMDA Japan (MRCT/GCP and Pharmacovigilance & Medical Device Vigilance); Duke/NUS Singapore (MRCT/GCP); Regulatory Affairs Professionals Society of Chinese Taipei, in cooperation with Chinese Taipei FDA (Good Regulatory Management); Korea Institute of Drug Safety and Risk Management (KIDS) (Pharmacovigilance); University of Tennessee (Supply Chain); and US Pharmacopeia (Supply Chain).



## Traceability with GS1 standards in Turkey



- The main challenge in Turkey was to ensure and guarantee the reliable supply of drugs to patients
- The solution is traceability, which is defined as full, end to end, actionable visibility of finished pharmaceuticals in healthcare globally, from point of production to point of use.
- Results of Turkey's efforts have been tremendous, and in these five areas alone, the nation is seeing savings of 1 billion US dollars annually.



Prof. Özkan Ünal, President of Turkish Medicines and Medical Devices Agency since December 2014.

http://www.gs1.org/sites/default/files/docs/healthcare/gs1 healthcare reference book 2015-2016.pdf



#### Many achievements and benefits



- Safe medicines, prevents counterfeiting
- Prevents resale of medicine
- Expedites recalling of medicine
- Prevents sale of expired medicine
- Preventing drug shortages
- Quality data for insurances
- Provides statistics to develop policies on Rational Medicine Use
- Enables pharmacovigilance and strategic planning





# Interagency Supply Chain Group (ISG) Adoption of global GS1 standards



The ISG: Bill and Melinda Gates Foundation, **DFID, Global Affairs Canada, the Global** Drug Facility, KfW, the Global Fund, Gavi, NORAD, UNDP, UNFPA, UNICEF, USAID, World Bank, WFP and WHO published a position paper in August 2017 on the **adoption** of GS1 standards committing to the process of transitioning to include established, global data standards as part of their procurement requirements and support country uptake of these standards.

From the Interagency Supply Chain Group: Visibility for Health Systems: Adoption of Global Data Standards (GS1)

The broad purpose of the Interagency Supply Chain Group (ISG) is to share information and seek greater alignment across supply-chain investments to bring more impact to individual agency supply chain strategies. The group promotes coordination both globally across programs, and locally through national leadership with the overall aim of improving the efficiency and effectiveness of in-country supply chains. The ISG is an informal partnership of 15 major actors involved in providing supply chain support to countries: Bill and Melinda Gates Foundation, DFID, Global Affairs Canado the Global Drun Facility KfW, the Global Fund, Go. VI, NORAD, UNDP, UNFPA, UNICEF, USAID, World Bank, WFP and WHO.



require synchronization of supply and demand, as well as all'. The international community has recognized the the orchestration of three flows of commerce, that are the need to support countries in determining what these best movement of goods, information and funds, across an approaches are. Since 2014, the international developncreasing number of logistics and trading partners, span- ment community has promoted the use of global data ning a wide (if not global geographic) region. Whilst the standards (GS1) to provide a wider and harmonized implementation of traceability systems has been identified. framework for supply chain visibility, strengthening anticient tool to combat falsification and illicit distribution of medical products, only some countries have issued pro- value for advocating for both effective and sustainable gressive traceability regulation. Many have not, and are still assessing various implementation mechanisms, after

Medicines supply chain execution and responsiveness natives or otherwise have not approached this topic at by National Regulatory Authorities as a useful and effities. The Interagency Supply Chain Group recognizes the solutions to enable traceability and safe passage of medicines through national supply chains and have committed to strengthening this response accordingly

- Strengthen global and country advocacy for the adoption of GS1 standards and traceability systems with countries, in collaboration with other relevant stakeholders.
- Accelerate the understanding and adoption of an open and global supply chain standard, globally, through technical support, education, and collaboration with manufacturers
- Collaborate to improve donor procurement guidelines, including the requirement for the use of GS1 standards for identification and barcoding on the different packaging levels, and coordinate with manufacturers on an imple-
- Develop a roadmap & timeline for the adoption of GS1 standards in labeling all health commodities and products. Provide technical assistance to several countries in defining parameters necessary to implement National Trace-ability Systems. These include development and finance implementation plans for barcoding of health commodises for member states, e.g. support to the Government of Ethiopia to implement a nation-wide adoption of bar-

\* Fourth meeting of the member state mechanism on substanderdispusious/lister/subselled Africknish's bladfedictumental medical products, 13 November agends item 4C. Existing sectoralogies and tracel mediate in use and to be developed by member states. Draft document submitted by Argentine



# Requirements for medical devices identification and UDI

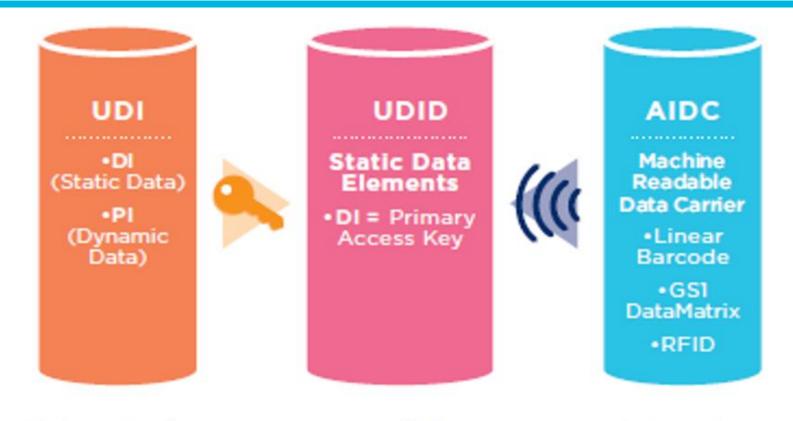






#### The UDI system



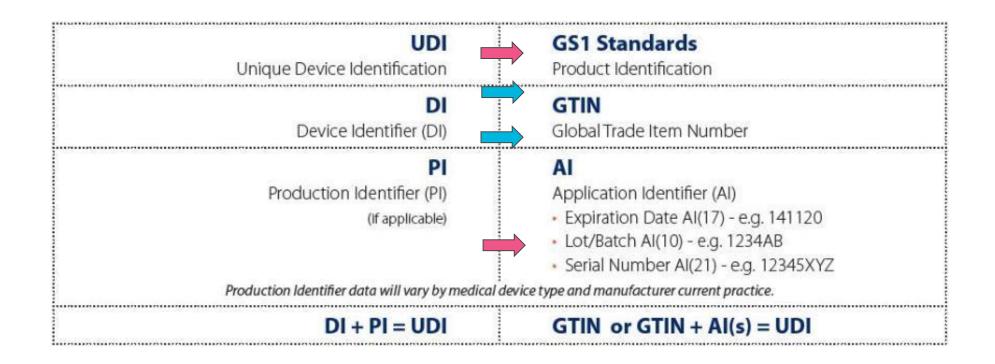


Unique Device Identification Unique Device Identification Database Automatic Identification and Data Capture





#### UDI in GS1 AIDC terms... identify



The **HRI format** shall follow the rules of the UDI Issuing Agency



### GS1 key to implementations on medical devices



Accredited as UDI Issuing Agency by the US FDA 99% of medical devices identified with GTIN in Japan MHLW Annual Survey, 2012 UDI assigning entities listed in the EU MDR





Mandated by ANMAT for traceability of certain devices in Argentina £3 million on average saved each year in every NHS hospital in England Lord Carter interim report, 2015 91,8% of devices identified with GTIN in Turkey

Turkish National Drug and Medical Device Databank (TITUBB)





# Device identification system: a UDI system is established

#### **Scope:**

Apply to all medical devices placed on the market except custom-made devices

#### Approach:

Substantially based on internationally recognised principles and guidance

#### **Use of UDI:**

- Basic UDI-DI is the access key for device-related information entered in EUDAMED
- Reference to Basic UDI-DI in key documentation (Declaration of conformity, certificates)
- UDI shall be used for reporting serious incidents and field safety corrective actions
- UDI storage obligations for Class III implantable devices

#### EU "Basic UDI-DI"



#### The EU Commission definition of "Basic UDI-DI":

"The Basic UDI-DI is the primary identifier of a device model. It is the DI assigned at the level of the device unit of use. It is the main key for records in the UDI database and shall be referenced in relevant certificates and declarations of conformity."

(MDR as officially adopted, before publication – Annex VI Part C. 1)

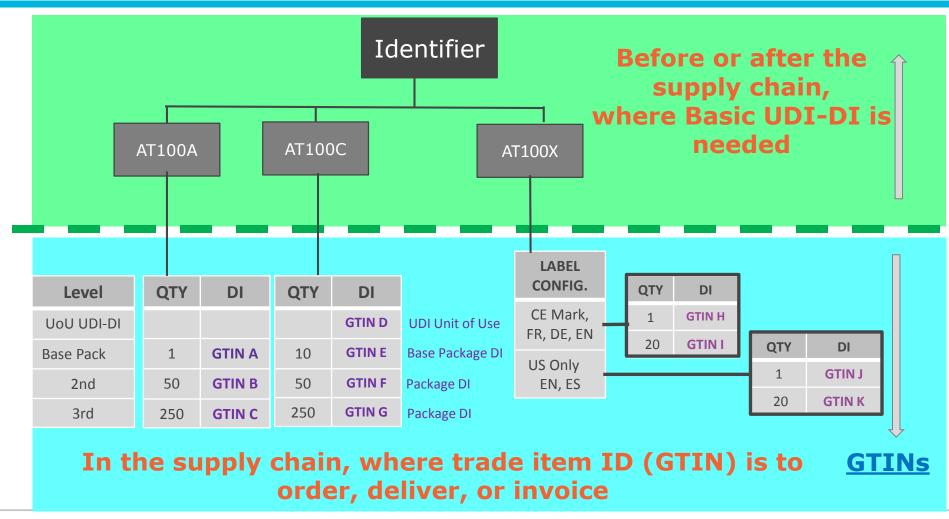




## EU "Basic UDI-DI"









### UDI system in EU



- Assignment of a UDI made of a DI and a PI, application of the UDI on the label or the package of the device and higher level of package.
- Data submission in a UDI database (EUDAMED)
- Storage of UDI, preferably by electronic means, for class 3 implantable devices and for devices identified via implementing acts by the economic operators, the health institutions and healthcare professionals. **Traceability** by identification of any operator/health institution to whom one has directly supplied a device and any operator who has directly supplied with a device: one-up-one-down traceability model
- The UDI shall be included in the field safety notice for reporting serious incidents and field safety corrective actions.



## EUDAMED – a complex database





Part that the U.S. FDA UDI system focuses on today...

#### **EUDAMED**

European Databank on Medical Devices (as proposed by the European Commission)

Electronic Electronic Electronic system system system Registration UDI Certificates Medical devices / IVE s **Device Identifier** Certificates issued economic operators data elements by notified bodies incl. Summary of Safety Information on and Clinical certificates Performance refused (high risk devices) suspended reinstated restricted withdrawn

Electronic system on Vigilance

Serious incidents
&
Field safety
corrective actions
&
Field safety notices

Electronic system on Market surveillance

Measures taken by Member States re. devices presenting a risk to health & safety preventive health protection measures Electronic system on Clinical investigations

Sponsors
(& manufacturers)
description of:
investigational
device,
comparator,
purpose of CI,
status of CI

- > Registration
- > UDI database
- Certificates
- Vigilance
- Market surveillance
- Clinical investigations

"Basic UDI-DI" is the main key linking device data across Eudamed



#### **EUDAMED**



- Manufacturers can upload the data into EUDAMED via web-portal (manually) or XML (machine-to-machine)
- Divided into economic operators registration, product registration and **UDI** registration
- Delegated/implementing acts to provide more details on implementation
- **Deadline** for implementation should cover all class of MD
- Open points:
  - Deadline for EUDAMED to be operational?
  - No usage of HL7/SPL how to map?
  - Nomenclature to be used?

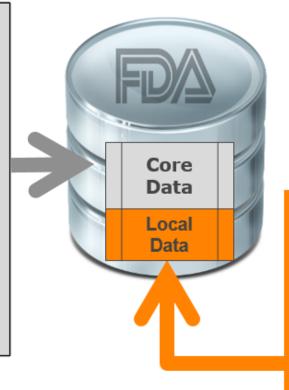


## **UDI Databases:** Global Core Data + Local Data



- · Packaging Hierarchy, per pack. level · DI / Unit of Measure / Quantity
- · Unit of Use DI
- · Manufacturer Name, Address, Contact info
- Authorized Representatives (list of countries)
- Nomenclature + Term (e.g. GMDN code)
- Brand Name
- Device Model or Version
- Reference Number (REF No./catalog no.)
- Controlled by (e.g. exp. date, lot no., serial no)
- Clinical Size (Size/Volume/Length/Gauge...)
- · Special Storage Conditions
- · Special Handling Conditions
- · Labeled as 'single use'
- Sterility / Package sterile
- · Need to be sterilized before use + Method
- Restricted number of reuses
- License / Marketing Authorization
- URL for additional information
- · Critical warnings / contraindications as labeled
  - · labeled as containing Latex
  - · labeled as containing DEHP

Global core data elements defined by the IMDRF



#### Additional local data elements defined by the FDA

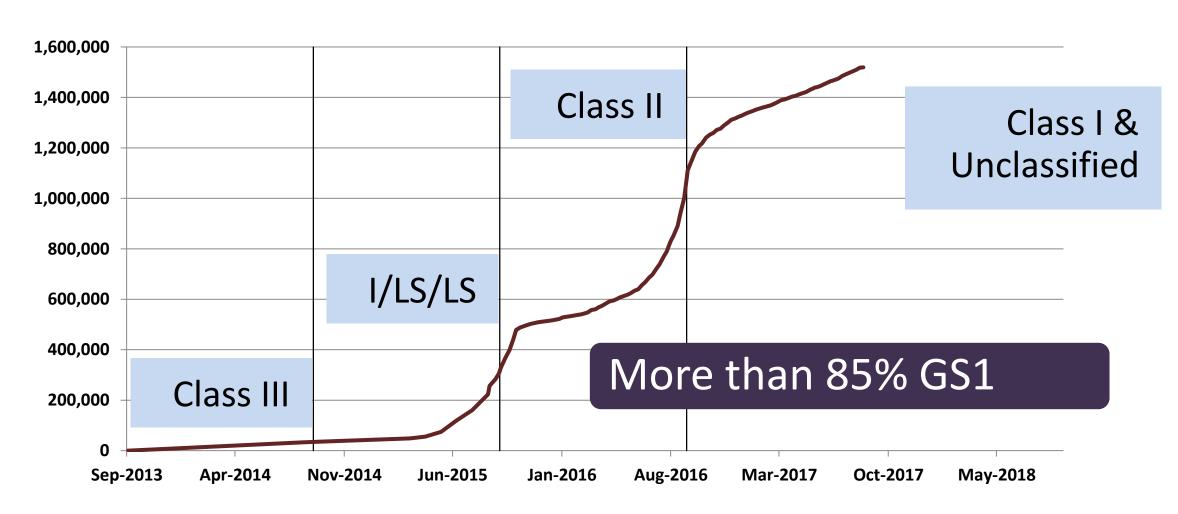
- DUNS Number
- Authorisation Number (510K)
- Product Code
- FDA Listing Number
- Product Exemption from PMA
- Prescription Product
- Kit Product
- Combo Product
- Contains Human Cell / Tissue
- MR Safety



#### **GUDID Records and Submission Compliance Deadlines**



Data Current as of September 5, 2017



### Traceability for patient safety



- ➤ In many countries implant registries are being build or already in place
- > Data need to be interoperable for the benefit of patients
- Capture data automatically no manual entries







# Turkey – new Traceability system



#### **Tracking and Monitoring**

Product Movements Module
Product Withdrawal Module



#### Citizen Oriented Services

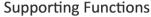
Product Inquiry Module Registered-On-Person Product Inquiry Module Complaint Reporting Module Maintenance and Calibration Inquiry Module





#### **Product Management**

Product Tree Management Module Product Management Module



Document and Certificate
Management Module
Reference Areas Management
Log Management Module
Announcement Module
Scheduled Tasks Module



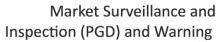
25 Modules

(8 Module Groups)



#### User, Organization and Authority Management

User Management Module
Organization Management Module
Authority Management Module



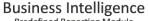
Inspection Activities Module Warning Module





#### Clinical Engineering

Maintenance and Repair Management Module Calibration Management Module Technical Personnel Certification Module Technical Service Management Module Calibration Organization Management Module



Predefined Reporting Module Map Assisted Reporting Module

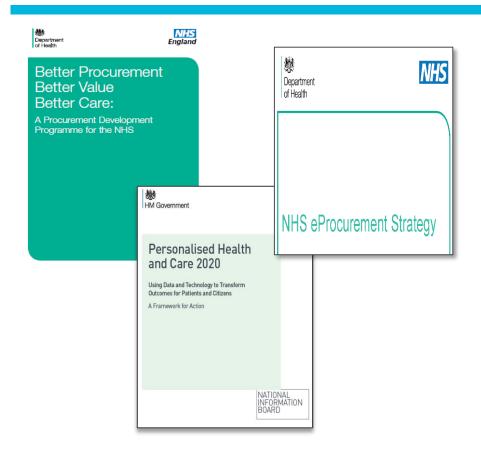


Source: Presentation of Turkish MoH at GS1 Healthcare conference in Chicago, October 2017

# England - NHS







#### Objectives:

- Deliver efficiency and productivity gains
- Improve data, information and transparency
- Re-think clinical engagement in procurement
- Improve trust capabilities in procurement

#### Actions:

- Mandate through contracts GS1 standards GTIN, GLN and GDSN
- Create a single NHS GS1 data pool
- Define standards for eProcurement
- Establish standards for datasets/classification
- Put implementation support in place

£6m actual financial benefits realised to end of October 2017 against a forecast of £5.67m.



#### Scan4Safety at Derby recap: Benefits Delivered

- £1.2m annual saving in consumption reduction in theatres
- £360k savings identified in inventory reduction (to be actioned)
- Traceability of implantable products by batch/lot number to patient record
- Auditable evidence resolution of SUI
- Product standardisation and switching opportunities
- Reduction in clinical time spent on non-clinical activities
- Improved clinical coding
- Ability to analyse clinical variation in practice
- Improved visibility of inventory



### Patient care and patient safety Improvement



- Surgical teams now alerted if expired or recalled stock
- > 93% of implantable devices accurately tracked to patient
- Significant Clinician Time released back to patient care
- Product recalls now performed in under an hour
- Tracking Provider expertise relative to patient need and quality of care



Source: Anne Snowdon at GS1 Healthcare Conference Chicago 2017



#### Getting it right!





Source: David Berridge, Medical Director, Leeds Teaching hospital at GS1 Healthcare conference in Chicago



#### Benefits of UDI



- More accurate reporting, reviewing and analyzing of adverse event
- > Reducing **medical errors** by providing health care professionals access to information
- > Enabling to **document device use** in electronic health records, clinical information systems, claim data sources and registries.
- > A more robust **post market surveillance** system
- Manage product recalls
- > Foundation for a global, secure distribution chain, helping to address counterfeiting and diversion and prepare for medical emergencies.







## The need to align on a global UDI framework



UDI is very beneficial - it is crucial that regulators around the world align on the IMDRF Guidelines and ensure consistency when setting-up regional or national UDI system

- > This will ensure:
  - highest levels of patient safety beyond borders
  - harmonized identification systems for medical devices globally







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