# **Good Reliance Practices**

Agnes Sitta Kijo
Technical Officer,
Facilitated Product Introduction
Regulation and Safety Unit
Regulation and Prequalification Department
World Health Organization





# Access to essential medical products – Reliance approach

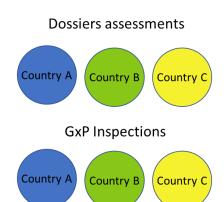


- Good health is impossible without access to medical products
- Reliance is an essential tool for efficiency of the global regulatory oversight of medical products.
  - ➤ NRAs rely on the work of trusted institutions to enhance their own regulatory decision
  - To improve regulatory efficiency, ensure faster access to quality-assured medical products and reduce duplication of efforts
  - Importance of international cooperation to ensure the safety, quality and efficacy or performance of locally used medical products

Each national regulatory authority (NRA) retains ultimate accountability and decision- making power.



# **Key concepts of reliance and examples**



Standard processes

Country B Country C

Work-sharing

Country A

Country A

Country A

Country A

Country A

Work-sharing including joint activities
Abridged pathways using reliance

# Country B Country Country B

**Mutual recognition** 

Recognition

**Independent decisions** 

based on its own reviews and/or inspections

Leveraging regulatory work

Performed by other competent and trusted authorities to reduce the workload

Unilateral or mutual recognition based on treaties or equivalent

Unilateral

Building trust between NRAs, increasing reliance and efficiency



## Risk-based approach: NRA strategy

Each NRA should define its **own strategy for an appropriate risk-based approach** to reliance

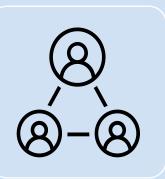
Not one size fits all



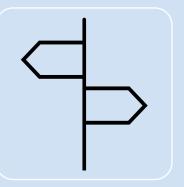
NRA Reliance strategy











Public health needs and priorities

Level of resources and expertise available

Type and source of products evaluated

Opportunities for reliance

## **WHO Good Reliance Practices – Barriers and enablers**

#### **BARRIERS**

- Lack of political will
- Lack of accessible information and confidentiality of information
- Other considerations: language, differences in country-specific regulatory requirements, lack of regulatory alignment of product risk-





- Trust
- Convergence and harmonization
- Information-sharing and dialogue among regulators
- Economic or legal integration
- Engagement of stakeholders







# **Example of Reliance, WHO Collaborative Registration Procedure - Overview**

- ✓ Accelerate national registration of WHO-prequalified or stringently assessed medical products by leveraging prior evaluations.
- ✓ Same principles for life cycle

#### **Process:**

- ✓ WHO shares assessment reports with participating NRAs.
- ✓ NRAs conduct an abridged review based on WHO evaluations.
- ✓ Faster decision-making while maintaining national regulatory oversight.
- ✓ Product sameness: Quality Information Summary validated by WHO/SRA





Source of information: WHO PQ



Dossier application to NRA, provision of assessment & inspection reports from PQ/WLA





## "Sameness" of a product

- Results of supporting studies of safety and performance, indications and conditions of use should be the same.
- **Essential role of the manufacturer** to confirm the sameness of a product and to provide the same documentation to different NRAs.
- Except for additional country-specific information submitted for review (stability, label and labelling information etc.).
- Post-approval changes effectively communicated as long as the sameness is maintained.





## WHO support to reliance implementation

- Development of tools to support NRAs implementation of reliance
- Provides training sessions, workshops, and webinars to strengthen the regulatory capacity of the NRAs
- Technical assistance to countries to enhance understanding and application of reliance principles
- Facilitate regulatory networks: Regional joint assessments/ harmonization networks (ASEAN, AMRH etc)
- WHO collaborative Registration Procedures
- Offers guidance and reliance frameworks during health emergencies such as WHO EUL for medical products





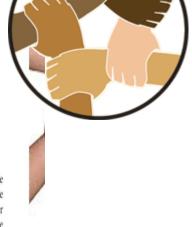
## WHO Good Reliance Practices: Source of information on reliance

#### Annex 10

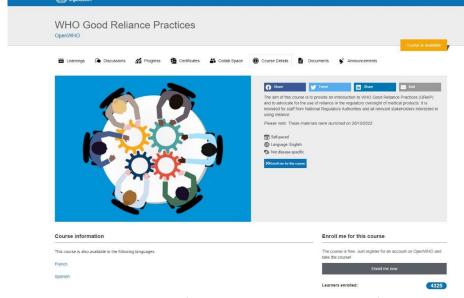
Good reliance practices in the regulation of medical products: high level principles and considerations

#### Background

WHO supports reliance on the work of other regulators as a general principle in order to make the best use of available resources and expertise. This principle allows leveraging the output of others whenever possible while placing a greater focus at national level on value-added regulatory activities that cannot be undertaken by other authorities, such as, but not limited to: vigilance, market surveillance, and oversight of local manufacturing and distribution. Reliance



Adopted by WHO Expert Committee on Specification for Pharmaceutical Products in October 2020, published in March 2021 <a href="https://www.who.int/publications/i/item/55th-report-of-the-who-expert-committee-on-specifications-for-pharmaceutical-preparations">https://www.who.int/publications/i/item/55th-report-of-the-who-expert-committee-on-specifications-for-pharmaceutical-preparations</a>



Short eLearning Module of main principles and examples of reliance launched in October 2022 https://openwho.org/courses/good-reliance-practices

Reliance examples	Regulatory function	Technical scope	National, Regional or	Principles	Overview of the process	Regions, and/or countries involved	Link to publicly available information
			Global				
				A framework for evaluating and			
					A transparent and evidence-based pathway for regulatory authorities operating at an		
WHO Listed Authorities		Medicines.			advanced level of performance to be globally recognized as meeting WHO standards and		
	All		Global			All regions	WHO-Listed Authority (WLA)
				,			
				Joint assessment of clinical trial			
				applications between national	Joint assessment of clinical trial applications for African countries involving national		
AVAREF (African Vaccine	Clinical trials	Medicines,		regulatory authorities and ethic	regulatory authorities and ethic committees. The process includes two steps, the joint		https://www.afro.who.int/health-tooks/immunization/avaref:
Regulatory Forum)	oversight	vaccines	Regional	committees	assessment followed by the individual national decisions.	African countries	https://www.afro.who.int/health-topics/immunization/avaref/joint-review-process
					Sponsors submit one single e-submission to all concerned member states with an		
					harmonized dossier via the single Webportal (Clinical Trial Information System), joint assessment between concerned member states led by the reporting member states, one		
Clinical trial authorisations in	Cliniani solala	Medicines,		applications between member	single decision (including national regulatory authority and ethic committee outcome)		https://ec.europs.eu/health/medicinal-products/eudralex/eudralex-volume-10 en
	oversight		Regional			European Union Member States	https://www.ema.europa.eu/en/human-regulatory/research-development/clinical- trials/data-submission-investigational-medicines-quidance-clinical-trial-sponsors
the European Onion	oversignt	vaccines	negional	states	per member state.	European Onion Member states	pacinia somision mengania mentre grante onta tra spisos
				Shortened review timelines of	Review timelines for clinical trial application reduced from 45 to 15 days in case the		
Fast track for multi-regional					clinical trials is already approved by one authority from a list of the ten reference		
	Clinical trials				countries (Germany, USA, UK, France, Japan, Canada, Australia, Belgium, Switzerland, and		
	oversight	Medicines	National	medical-advanced countries	Sweden) and domestically conducted in one of medical centers.	Chinese Taipei	http://www.fda.gov.tw/TC/siteListContent.aspx?sid=4254&id=37085
					The European Medicines Agency (EMA), in cooperation with the World Health		
i i					Organization (WHO), can provide scientific opinions on high priority human medicines.		
					including vaccines, that are intended for markets outside of the European Union (EU).		
				Scientific opinion from the	Target national regulatory authorities are invited to participate in the EMA evaluation.		
	Scientific Advice,			European Medicines Agency for	The EMA scientific opinion is then used to facilitate in-country registration.		
	Marketing	Medicines,	I	medicinal products to be used	Target national regulatory authorities are also invited to participate in scientific advice		https://www.ema.europa.eu/en/human-regulatory/marketine-authorisation/medicis
EU-M4All (Article 58)	Authorisation	vaccines	Global	outside of the European Union	procedure.	All regions	use outside european-union
					The MAGHP is based on the approach of involving target National Regulatory Agencies		
					(NRAs) and the WHO in the Swissmedic assessment process.		
			I	1	Scientific advice: to clarify scientific questions in the development phase regarding the		
					planned submission.		
	Scientific Advice,				Marketing authorisation: procedure follows the regular Swissmedic marketing		
Marketing Authorisation for		Medicines,	I		authorisation procedure (same time frame, procedural steps and evaluation criteria) with		https://www.swissmedic.ch/swissmedic/en/home/about-us/development-
Global Health Products	authorisation	vaccines	Regional	assessment process	the difference that concerned NRAs and the WHO are involved	Sub-saharan region of Africa	cooperation/marketing-authorisation for-global-health-products.html





- Reliance is about making the best use of available resources and expertise and avoiding duplication and concentrate regulatory efforts and resources where most needed;
- No single regulatory body can do everything alone, regardless of its size, maturity reliance is a 21<sup>st</sup> century regulatory tool;
- Implementing reliance mechanism is not a weakness, but rather a sign of strength and smart way of regulation medical devices;
- WHO good reliance practices guideline (2021) provide principles that could be translated into national regulatory framework to facilitate effective implementation of reliance;
- Choose a regulatory framework that is **least burdensome**, ensuring **timely access** to safe and effective health products, **without compromising public safety.**







# Thank you

Email: kijoa@who.int

